

Review Article

Insights and Hopes in Umbilical Cord Blood Stem Cell Transplantations

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Over 20,000 umbilical cord blood transplantations (UCBT) have been carried out around the world. Indeed, UCBT represents an attractive source of donor hematopoietic stem cells (HSCs) and, offer interesting features (e.g., lower graft-versus-host disease) compared to bone marrow transplantation (BMT). Thereby, UCBT often represents the unique curative option against several blood diseases. Recent advances in the field of UCBT, consisted to develop strategies to expand umbilical stem cells and shorter the timing of their engraftment, subsequently enhancing their availability for enhanced efficacy of transplantation into indicated patients with malignant diseases (e.g., leukemia) or non-malignant diseases (e.g., thalassemia major). Several studies showed that the expansion and homing of UCBSCs depends on specific biological factors and cell types (e.g., cytokines, neuropeptides, co-culture with stromal cells). In this review, we extensively present the advantages and disadvantages of current hematopoietic stem cell transplantations (HSCTs), compared to UBCT. We further describe the importance of cord blood content and obstetric factors on cord blood selection, and report the recent approaches that can be undertaken to improve cord blood stem cell expansion as well as engraftment. Eventually, we provide two major examples underlining the importance of UCBT as a potential cure for blood diseases.

1. Introduction

Umbilical cord blood (UCB) availability as a prospect for therapeutic use was first reported in the British journal, *Lancet*, in 1939 [1]. The proposed use was transfusional, but outside of the neonatology clinic, the concept was slow to be accepted, with standard adult blood transfusions being more available. Many years passed before E. Donnall Thomas eventually achieved bone marrow transplantation (BMT) in the 1950s, leading to his later Nobel Prize. Along with this clinical milestone, became a slow but growing awareness that UCB might also be of interest, but it was not

until the 1970s when the medical brothers Ende published the transplantation of multiple units of UCB into an individual [2]. Sadly, this procedure was not successful, most likely because of the complications related to the multiple immunology disparities of the transplant units. However, the procedure did start a new move to investigate cord blood on a more serious level.

Eventually, in 1988, successful transplant for bone marrow replacement of a sibling with Fanconi's anaemia was achieved and then published in 1989 [3]. The growth of this possibility to use what is one of the largest cellular sources available on the planet, but normally discarded, was

an exciting move which has now led to UCB being considered an attractive alternative source of donor hematopoietic stem cells (HSCs) in the treatment of both recurrent or refractory malignant hematologic disorders (e.g., leukemia, lymphoma) and nonmalignant blood diseases (e.g., thalassemia, sickle cell disease) [4–6]. Indeed, since its successful initial use in 1988, umbilical cord blood transplantation (UCBT), particularly allogeneic-UCBT, from both related and unrelated donors, is increasingly used worldwide to treat patients, mostly pediatrics, with either malignant or nonmalignant disorders [3, 7–9]. To date, over 20,000 transplantation procedures have been performed from unrelated donor UCB units, and more than 450,000 UCB units have been collected and banked by approximately 50 public cord blood banks worldwide [4, 10–12].

Globally, UBCT presents the following advantages over BMT [4, 11–14]: (i) lower incidence and lower severity of acute and chronic graft-versus-host disease (GVHD), a leading cause of morbidity and mortality; (ii) possibility of extending the number of HLA-antigen mismatches to 1 to 2 of the 6 HLA loci currently considered in UCB transplantation; (iii) lower risk of transmitting latent virus infections (e.g., cytomegalovirus, Epstein-Barr virus, hepatitis viruses, human immunodeficiency virus); (iv) elimination of clinical risk to the donor during hematopoietic stem cell procurement procedures; (v) higher frequency of rare HLA haplotype representation in the donor pool; (vi) a rapid tempo of immune reconstitution. However, these advantages are balanced by two main disadvantages compared to BMT [4, 11–13, 15]: (i) higher risk of graft rejection because of possible translation of the naive immune system into a blunted allogeneic effect elicited by donor T lymphocytes (i.e., immunologic barriers to engraftment); (ii) delayed hematopoietic recovery after transplantation, due to a reduced number of hematopoietic progenitor cells that can further contribute to serious infections.

Interestingly, children with nonmalignant disorders experienced a higher rate of graft rejection after UBCT compared with children suffering from a malignant disorder [16–18]. The reason(s) of such difference might be linked to [8, 19–23]: (i) the T-cell depletion, (ii) the total nucleated cell (TNC) dose along with the colony-forming unit (CFU) activity and CD34⁺ cells (HSC) which has a profound impact on engraftment, transplant-related complications (infection risk, survival), (iii) the degree of HLA mismatching (i.e., recipients who had greater than 2 HLA mismatches, assessed by low-resolution HLA typing methods at HLA-A and HLA-B loci and by high-resolution at HLA-DRB1, experienced the worst outcomes). The later has a great impact on the incidence and severity of GVHD, engraftment (i.e., neutrophil and platelet count recovery), as well as survival.

Conversely, it was shown that increasing the cell dose of HSC to over 3.5×10^7 TNC/kg could partially overcome those negative consequences, especially if the patients experienced previous autologous stem cell transplantations [10, 13]. Nevertheless, in adult recipients, the cell dose constitutes the major limitation which is difficult to overcome if less than two UCB units are used. Indeed, the use of two UCB units, preceded by the application of a reduced intensity

preparative regimen, facilitated engraftment and mitigated the difficulties associated with delayed or nonengraftment [24–28].

Eventually, related CBT offers a good probability of success (e.g., possible low occurrence of transplant-related complications and transplant-related mortality (TRM)) as it is mainly associated with a low risk of GVHD [11–14, 29].

2. Hematopoietic Stem Cell Transplantation from Different Sources: Advantages and Disadvantages

2.1. Matched Unrelated Versus Umbilical Cord Blood or Haploidentical Transplantation. Hematopoietic stem cell transplantation (HSCT) is a potentially curative option for many cases of hematologic nonmalignant or malignant diseases such as thalassemia major and acute leukemia. Applicability of HSCT is dependent on the presence of suitable hematopoietic stem cell donor. Unfortunately, many patients do not have suitable HLA match donor in family. Therefore, finding an alternative donor is crucial for such cases. The diversity of HLA antigens in community subsequently led to study several alternative sources HSCT such as the use of (i) unrelated donors bone marrow or peripheral blood hematopoietic stem cells, (ii) cord blood stem cells, (iii) finding a donor between extended family (especially in societies with high rate of consanguinity in marriage), (iv) unrelated mismatch donor, and (v) haploidentical stem cell donor from a family member [30].

Each modality has its own advantages and disadvantages depending on the source of stem cells. Stem cells from live donor is well studied and shows good results when related HLA match donor HSCT is employed [31, 32]. Some advantages are associated with this modality such as (i) potential availability of the donor for further therapeutic maneuvers such as donor lymphocyte infusion (DLI)/boster cell doses or even retransplantation, in case of rejection or relapse, (ii) enough cell doses can be harvested for a successful and safe HSCT, (iii) high chance of finding a suitable donor, especially between white Caucasian race because of more advanced unrelated donor registries and highest number of donors in this population. Nevertheless, those advantages are balanced by some disadvantages such as (i) difficulty of finding a donor between ethnic minorities, (ii) great time consumption (average of 3 months) to find and prepare a donor for HSCT, (iii) unavailability of a potential donor due to personal donor problems, (iv) severe GVHD in case of HLA mismatches, usually greater than 2, (v) high cost of the overall procedure which limit its use in some countries financially limited.

Umbilical cord blood stem cells (UCBSCs) were extensively studied [3, 10, 33–36] and constitute an acceptable source of cells for permanent engraftment after transplantation. Further, they can elicit graft versus host/leukemia effect. UCBT has also its own advantages and disadvantages. Usually, there is a waste product of pregnancy deliveries, and so, UCBSCs represent valuable sources for preserving lives. The main advantages of this modality are related to

(i) their easy and immediate availability [37], minimizing donor-related problems, (ii) their low risk of GVHD, thus allowing some acceptable degree of HLA mismatch [10, 33–35], (iv) their greater expansion and division potential than adult cells that makes the use of one Log cell dose lower than adult cells acceptable for a successful transplantation [38], (v) their nature as immunological naïve cells that might explain lower immunological complications than adult stem cells after UCBT [39–41]. Disadvantages of UCBCs for transplantation often concern (i) their harvesting limitation that may be lower than the minimum necessary cells dose for a suitable engraftment, especially in adults with larger body mass [10, 33–35], (ii) availability of donors for further therapeutic maneuvers such as DLI and, so, in case of rejection/relapse, fewer therapeutic options remain. One of the major disadvantages of UCBT is the delayed engraftment which predisposes patients to severe infectious complications after transplantation [10, 33–35]. Finally, the cost of harvesting and preserving in frozen condition UCBCs for several years is high and is not favorable for financially poor patients.

Considering the advantages of HSCT, the improvement of transplantation methods, the better knowledge of transplantation immunology, the development of more potent immunosuppressive drugs and antibiotics, the greater experience with mismatch transplantation as well as the possibility of stem cell purification in clinical setting, UCBCs transplantation rose as a valuable therapeutic option. This option is generally used from family donor with similarity in HLA antigens in one haplotype [42–44]. This is possible by (i) using induction of greater immunosuppression in recipient to prevent from graft rejection and severe GVHD, (ii) purifying HSCs before HSCT and depletion of alloreactive T cells before transplantation, which can be performed by *ex vivo* T cell depletion or *in vivo* T cell reduction by T cell directed monoclonal antibodies [45] or cyclophosphamide [46], and (iii) using higher cell doses (or even mega cell doses) to prevent rejection of transplanted cells by persistent recipient immunity [44].

Advantages of haploidentical transplantation are obvious. They include (i) universal availability of sibling donors (i.e., parents) for every therapeutic maneuver (e.g., DLI or retransplant), (ii) short time for finding a suitable donor, (iii) great immunologic reactions against leukemic cells [47], (iv) acceptable cost which is very important for countries with limited financial resources. The disadvantages of haploidentical transplantation include (i) great possibility of rejection, due to preserved recipient immune system or severe GVHD and, (ii) high rate of infectious complications, [48] or posttransplantation secondary malignancies, because of greater and longer immunosuppression necessary for prevention of immunological reactions and rejection, (iii) lesser knowledge and experience to manage the eventual complications associated to this procedure.

Although HSCT performed from all of these sources, there are few studies that compare between these modalities. Because of lack of enough evidence for comparison of these modalities, decision making for patients and choosing one of these options remain difficult.

3. Importance of Cord Blood Content and Obstetric Factors on Cord Blood Selection

Although UCB is known to have transplant outcome advantages over bone marrow and peripheral blood, one of the known limitations of the use of UCB has been cell number and content [49, 50]. Variability between UCB units can be analysed in terms of (i) child gender, (ii) obstetric history, (iii) infant birth weight, (iv) gestational stage at parturition, and (v) mother's age at delivery [51]. These factors affect not only choice of cord blood unit for haematological transplantation, but also choice of processing technique. The recommended TNC content for UCB transplantation is a minimum of $2 \times 10^7/\text{kg}$ for adults and $3.7 \times 10^7/\text{kg}$ for children [52]. Therefore, it is extremely important to determine the best selection processes for donors of UCB to improve quality and applicability of UCB units and in terms of cord blood banking to reduce storage of ineffective blood units (Table 1). UCB cellular subpopulations of interest to transplant can be divided into three distinct groups according to a model previously described [53] from primitive to mature stem cells (Figure 1).

Our work in this area showed that females tend to have an insignificantly higher UCB TNC than males ($P = 0.752$), but a greater concentration of T-cells ($\text{CD}34^+/\text{CD}3^+$) than male infants ($P < 0.001$) although a slightly higher trend in early stage HSC ($\text{CD}45^+/\text{CD}34^-/\text{CD}133^+$, $P = 0.8929$) and late stage HSC ($\text{CD}45^+/\text{CD}34^+/\text{CD}133^-$, $P = 0.9479$) subtypes were observed, the differences between male and female were still not be marked [51].

Obstetric history does have a higher effect on UCB content, with number of pregnancies having a marked effect with (i) significantly decreasing UCB TNC in subsequent pregnancies ($P < 0.0001$), (ii) similarly decreasing early stage HSC populations, dendritic cells expressing MHC class II surface antigens ($\text{Lin}1^-/\text{CD}11c^+/\text{HLA-DR}^+$), and activated T-cells ($\text{CD}45^+/\text{CD}56^+/\text{CD}3^-$) (all P value of < 0.001) [51].

Infant birth weight also impacts on UCB cellularity. In a study of birth weights from 2.585 kg to 4.425 kg (average $3.571 \text{ kg} \pm \text{SD } 0.44$), data illustrates that babies with lowest birth weight also have lowest TNC ($P < 0.0001$) but exceptions can be found. Birth weight also impacts on HSC concentrations, especially at mid-stage HSC. As birth weight rises, HSC concentration as well ($P < 0.001$). A birth weight between 3.25 and 3.75 kg gives an optimum yield of dendritic cells expressing MHC class II ($\text{Lin}1/\text{CD}11c^+/\text{HLA-DR}^+$) and T-cells similarly rise ($P < 0.001$) [51].

In investigating pregnancy length, the standard expected of a 40-week-period (280 days) is not always achieved. Our work shows that babies born early or late by only a few weeks can have differing levels of cellularity in the cord blood. TNC levels of early and late children are lower ($P < 0.001$). Late stage gestational periods results in higher levels of T-cells and late-stage HSC ($P < 0.001$). On the other hand, optimal B-cell levels require a gestational length of 38–40 weeks [51].

In many societies, the family decision to wait to have children has been questioned from a developmental point of view. In our work, mother's age at parturition between ages 18–43 has a significant effect on the UCB content.

TABLE 1: Important surface markers for quantification of human umbilical cord blood content.

Human CD antigens	Cell types expressed on	CD function
CD3	T cells, thymocyte subset	With TCR, TCR surface expression/signal transduction
CD4	Thymocyte subset, T subset, monocytes, macrophages	MHC class II coreceptor, HIV receptor, T cell differentiation/activation
CD8	Thymocyte subset, T subset, NK	MHC class I coreceptor, receptor for some mutated HIV-1, T cell differentiation/activation
CD11c	DC, myeloid cells, NK, B, T subset	Binds CD54, fibrinogen, and iC3b
CD17	Neutrophils, mono, platelets	Lactosylceramide
CD19	B, FDC	Complex w/CD21 and CD81, BCR coreceptor, B cell activation/differentiation
CD25	T ^{act} , B ^{act} , lymph progenitors	IL-2R α , w/IL-2R β , and γ to form high affinity complex
CD34	Haematopoietic precursors, capillary endothelial, embryonic fibroblasts	Stem cell marker, adhesion, CD62L receptor
CD45	Haematopoietic cells, multiple isoforms from alternative splicing	Tyrosine phosphatase, enhanced TCR, and BCR signals
CD56	NK, T subset, neurones, some large granular lymphocyte leukemias, myeloid leukemias	Adhesion
CD123	Lymph subset, basophils, haematopoietic progenitors, macrophages, DC, megakaryocytes	IL-3R α , w/CDw131
CD133	Haematopoietic stem cell subset, epithelial, endothelial	Adhesion
7ADD	Nucleic acid attachment	Apoptosis marker and viability assessment
Lin1	CD3; T-lymphocytes CD14; monocytes, macrophages, neutrophils and eosinophils, CD16; NK-lymphocytes, macrophages, cultured monocytes and neutrophils, CD19/CD20; B-lymphocytes, CD56; activated and resting NK-lymphocytes	With TCR, TCR surface expression/signal transduction. Pattern recognition receptor Fc receptor B-cell coreceptor Adhesion molecule
HLA-DR	Macrophages, B-cells and dendritic cells	MHC class II cell surface marker

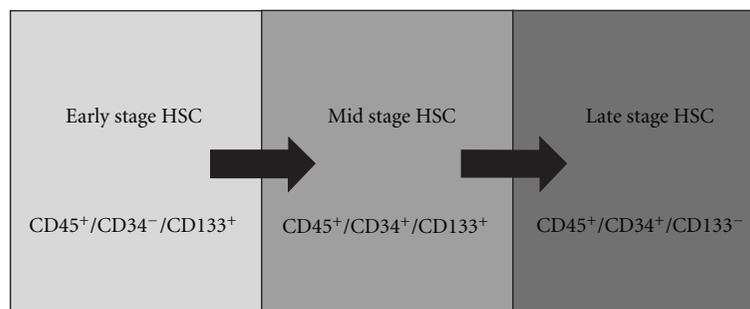


FIGURE 1: Subtyping of HSCs. HSC differentiation has a specific pattern from early to mid to late stages defined by surface antigen expression.

As mother's age increases, HSC concentration reduces, particularly late stage HSC ($P < 0.0001$), as does regulatory T-cells ($CD45^+/CD4^+/CD3^+$), and indeed all lymphocytes ($P < 0.001$). However, mother's under the age of 20 and over the age of 37 tend to have babies with lower TNC than mother's that lie within that age range ($P < 0.001$) [51].

Therefore, results would indicate that the most likely units to be useful for UCB banking and transplantation come from full term larger babies who are born to younger mothers with few previous pregnancies [51]. These findings could be of significant interest to immunologists, since lower TNC and fewer lymphocytes may have an impact on the health

of the child itself. Since several obstetric factors affect T-cell concentration, infants born late into larger families may warrant further immunological investigation, particularly to older mothers, but conventional wisdom that prematurity is a negative influence on immunity is upheld when UCB units of full term large babies have good levels of lymphocytes [54–56].

Despite the interesting data on obstetric factors and cord blood content, many of the studies have been country specific. This observation highlights the need for a true international study to evaluate UCB content in terms of regional variations, including ethnicity, average height and weight of the mother—since it is well known that in some Asian countries the female average height is lower, and finally differences between vaginal and caesarean delivery methods.

4. Approaches to Improve Cord Blood Stem Cell Expansion and Engraftment

Increased cell dose and improved homing are two major concerns prevailing in efforts to overcome engraftment delay following UCBT [27]. There is a strong association between these strategies to reconstitute hematopoietic system after UCBT which are discussed here. There are many unknown aspects about the interaction of hematopoietic components. However, designing *ex-vivo* experiments based on *in vivo* conditions shall naturally lead to more findings. Expansion of UCB-HSCs is an approach to increase cell dose and make UCB-HSC applicable for adult transplantation. *Ex vivo* expansion is performed through various ways: modifications in liquid culture, stromal coculture, and perfusion in bioreactors [57]. Reduced intensity conditioning (RIC) regimens, double cord blood transplantation, direct intra-BM injection of CB grafts, notch ligand expansion, as well as SDF-1/CXCR4 targeting represent new promising approaches to shorten CBT engraftment time [12].

4.1. Cytokine-Mediated Expansion. A wide variety of cytokine cocktails, growth factors, or other biological mediators in liquid culture have been assessed. Cytokines such as stem cell factor (SCF), interleukin (IL)-3, IL-6 and granulocyte colony-stimulating factor (G-CSF), thrombopoietin (TPO), and Flt-3 ligand (FL) have been extensively used with various dose or culture length [58]. However, the heterogeneity of CB samples and experimental conditions causes inconsistency among results and there is no specific growth factor cocktail that is universally applicable. Recently, a two-step expansion system proposed by McNiece et al. [59] yielded more than 400-fold increase in TNC and 20-fold increase in CD34⁺ cells, which is more effective than single step expansion [60]. Cytokine-based expansion has not proved any definitive evidence for stem cell expansion for clinical purposes.

4.2. Neuropeptides. The complex hematopoiesis network consists of nonhematopoietic cells, hematopoietic cells, as well as various ranges of biological mediators such as hormones, cytokines, and neurotransmitters. However, until

recently, enough evidence regarding the role of neuropeptides on UCB CD34⁺ cells was not available. Research had indicated that inclusion of biological mediators other than cytokines, such as neuropeptides would be valuable for optimization of UCB-HSC *ex vivo* expansion and shortening engraftment time [61]. Accordingly, once the role of substance P (SP) and calcitonin-gene-related neuropeptides (CGRP) on the expansion of UCB CD34⁺ cells was investigated [62], results showed maximum expansion in 10⁻⁹ M of neuropeptides in short time culture. Synergistic and antagonistic effects of both SP and CGRP were dominant at 10⁻⁹ M and 10⁻⁷ M dose on total nucleated cells and CD34⁺ CD38⁻ cells, respectively [62]. Interestingly, concentration 10⁻⁹ M of SP leads to optimal production of SCF and IL1 in BM stroma [63]. It seems that the proliferation of immuohematopoietic cells resulted as consequence of these interactions. Based on these preliminary findings, identifying further neuropeptide and UCB-HSC interactions would be helpful to achieve an optimum growth factor cocktail for expansion.

4.3. Coculture and Coinfusion with Stromal Cells. Growth factor cocktails use in *ex vivo* expansion partially compensates lack of natural hematopoietic microenvironment. Mesenchymal stem cells (MSCs)/stromal coculture is an optional modification to resemble the hematopoietic microenvironment. Coinfusion of MSCs—which is suitable for immunomodulation and prevention of GVHD—and employment of HSCs is another potential strategy to facilitate engraftment. Furthermore, immunomodulatory properties of MSCs make them a desirable cell for this purpose. There is little controversial evidence about UCB-derived MSCs and most experiments are performed on marrow-derived cells. Hematopoietic engraftment is supported by MSC through neurogenic and angiogenic mechanisms. Therefore, it has been proposed that coinfusion of MSC and hematopoietic cells accelerate engraftment of UCB [58, 64].

4.4. Tetraethylenepentamine- (TEPA-) Mediated Expansion. Reduction of free copper content and oxidative stress level of HSCs is the main suggested reason for induction of *ex vivo* expansion of HSCs by tetraethylenepentamine (TEPA) treatment. An increase of 89-fold in CD34⁺ cells was achieved by polyamine copper chelator, -TEPA-in Peled et al. experiment [65]. TEPA mediated expansion studies are in phase I/II clinical trials [12].

4.5. Notch Ligand-Based Expansion. Notch-1 gene expressed in CD34⁺ hematopoietic precursor cells is involved in self-renewal of repopulating cells. For expansion in static culture an immobilized, engineered notch ligand Delta1 with cytokine cocktail (SCF, FL, IL-6, TPO, and IL-3) was investigated in experiment [66]. Immobilized notch ligand results in improved immune reconstitution and enhanced cell number and phase I/II clinical trials are underway. Delaney et al. showed that coinfusion of unmanipulated UCB and notch-mediated *ex vivo* expanded UCB had faster neutrophil engraftment, 16 days, compared to infusion of

unmanipulated double UCBT, which took 26 days [66]. More clinical trials are required to support these results.

4.6. Adhesion Molecules for HSC Homing. Adhesion molecules are involved in the regulation of survival, proliferation and differentiation of progenitor cells. This might occur through interaction with microenvironment components [67] and biological mediators such as cytokines, chemokines, and neuropeptides. Secretion of stromal-derived factor (SDF)-1 by BM stromal cells is crucial for retention/homing of HSC in BM [26]. Additionally, involvement of this axis in survival and proliferation of HSCs has been shown [12]. For HSC engraftment, CXCR4 response to SDF1 and SDF-1 expression in BM microenvironment is important [26]. To improve homing of HSC following CBT, several approaches have been considered. Inhibition of enzymatic activity of CD26/Dipeptidylpeptidase IV (DPPIV) avoids truncation of SDF-1/CXCL12-exclusive ligand for CXCR4, and consequently results in accelerated UCB-HSC engraftment. Additionally, in order to increase the responsiveness of SDF1/CXCR4, *ex vivo* priming of HSCs prior to transplantation with small molecules including C3 complement fragments, fibronectin, fibrinogen, and hyaluronic acid has been suggested to improve homing/engraftment of UCB-HSCs [26].

Recently, SP and CGRP neuropeptide treated CB stem cells showed increased percentage of CD34⁺/CXCR4 [68], CD49e, and CD44 [69] subsets in neuropeptide-cytokine treated cells compared to cytokine-treated cells in short time culture, as well as a resistance to frequency decline. Accordingly, since actions of neuropeptides on hematopoiesis are less known, more investigation to clarify underlying mechanisms is required.

5. Cord Blood Stem Cells Transplantation: A Potential Cure for Blood Diseases

5.1. Main Interventions in Malignant and Nonmalignant Blood Diseases. In children and adults with hematologic malignancies (i.e., lymphoid- and myeloid-), most clinical studies were performed in an unrelated donor setting and reported that the TNC dose contained in a UCB unit has a profound impact on engraftment and an effect on infection risk and survival [8, 9, 19, 20, 22, 23, 70, 71]. In addition, the degree of HLA matching as well as the indication of UCBT according to the cancer stage seemed to have an independent impact on outcome (i.e., recipients who had more than two HLA mismatches and/or with advanced-stage malignant diseases experienced the worst outcomes) [4, 22, 23]. Over the past two decades, the most important changes (e.g., better selection of UCB donor units, greater selection of suitable transplantation recipients, more supportive experienced care) have improved outcomes [10, 12]. In hematologic nonmalignancies, such as thalassemia and sickle cell disease (SCD), it has been reported that graft rejection as well as delayed or failed engraftment after UCBT, represent the two major barriers, although often counter-balanced by the benefit of lower risk GVHD [8, 20, 72–74].

Here, we provide two relevant examples of blood diseases for which UCBT has been frequently reported. Thereby, we will discuss the case of leukemia, especially acute leukemia, as an example of UCBT in blood malignancy as well as thalassemia, especially thalassemia major, as an example of nonmalignant disorder.

5.2. Case of Leukemia. UCBT outcome for leukemia is widely documented since the nineties of the last century, mostly in pediatric patients with acute leukemia (i.e., 30–50% in most series) [7, 8, 20, 21, 70, 75, 76]. However, outcomes of other subsets of the disease (e.g., chronic myeloid leukemia (CML), myelodysplastic syndrome (MDS) formerly known as pre-leukemia) after UCBT are mainly limited by the number of patients [77–83]. Overall, data supports the utilization of UCB as an alternative source of HSC for patients with low- and high-risk leukemia and/or with no HLA-matched unrelated donor. Nevertheless, in patients with CML, low engraftment—certainly due to small cell dose—and moderate overall survival (40–60%) was observed after UCBT and, in spite of low relapse rates (about 10%), UCBT is not highly desired [79–81].

In acute leukemia patients, the neutrophil engraftment rate was reported to be about 60–80%, the TRM rate of about 44%, the relapse rate of approximately 20–40%, and the event- (leukemia-) free survival (EFS) rate at 2 years was ranged between 30 and 50% [9, 19, 84, 85]. In children with acute leukemia who received better HLA-matched grafts and higher cell dose achieved better survival [85]. In adults with acute leukemia, recent studies [33, 34, 86–90] showed that outcomes after UCBT were manifested by lower risk of TRM and similar EFS compared to unrelated (matched or mismatched) donor BM after myeloablative conditioning (cyclophosphamide/total body irradiation). Interestingly, double UCBT can overcome the cell dose limitation imposed by UCB grafts in adults while favoring a lower relapse risk [91].

Besides, reports evaluating the outcomes of patients with acute myeloid leukemia (AML) after UCBT showed promises according to the graft selection and disease stage at transplantation [92, 93]. Indeed, it was shown that myeloablative UCBT, influenced by TNC, achieved neutrophil recovery (94%–96%), sustained platelet recovery (73%–89%), EFS rate of about 77% at 2 years and about 37% at 4 years, incidence of TRM of about 39%, and relapse rate of approximately 19% at 2 years [24, 94].

In nonmyeloablative settings, studies are required to assess the outcomes leukemia outcomes after reduced-intensity conditioning [24, 94].

Eventually, overall data support the utilization of UCB as an alternative source of HSCT for patients with acute leukemia who lack a suitable related donor.

5.3. Case of Thalassemia. UCBT become a valuable alternative to overcome lack of both safety (i.e., GVHD) and HLA-identical sibling donor associated with conventional BMT, which initially demonstrated (about 30 years ago) a curative potential for thalassemia major, the severe form of this genetic hemoglobinopathy [95].

In one retrospective survey of sibling/related donor cord blood transplantation, about 21% children with thalassemia developed graft rejection after transplantation, the EFS was approximately 79%, acute and chronic GVHD were low (about 6%) and, remarkably, none of the patients ($n = 33$) died [73]. Interestingly, the graft rejection was often associated with the type of conditioning regimen. Thereby, a conditioning regimen of busulfan (BU) and cyclophosphamide (CY), with or without antithymocyte globulin (ATG), had a significant association with graft rejection after UCB transplantation for thalassemia [73]. However, children with thalassemia prepared for CBT with a combination of BU, fludarabine (Flu) or CY, and thiotepa (TT) and received cyclosporine alone for postgrafting immunosuppression, exerted very positive outcomes (high EFS rate enhanced from 62% to 94% if CY was used instead of Flu; no acute or chronic GVHD) [73]. UCBT using unrelated donors as a potential cure for thalassemia requires large series of patients [96]. Thalassemia recipients typically received unrelated cord blood units with 1 or 2 HLA mismatches and are prepared with a conventional combination of BU/CY/ATG. Most studies, mainly in children, showed good outcomes after unrelated UCBT: limited chronic GVHD, relatively rapid and good neutrophil and platelet count recovery, low TRM and high EFS rate [97, 98]. Graft failure or was autologous recovery were the main limitations.

Thus, the development of UCB as an alternate source of hematopoietic cells in transplantation for thalassemia must be linked to an effort to increase the UCB inventory with high-quality units collected from an ethnically representative population.

6. Conclusion

It is difficult to compare older transplantation outcome reports with more recent studies (i.e., comprehensive meta-analysis) because of changes mainly related to (i) stem cell sources (UCB unit characteristics), (ii) year of transplantation, (iii) time from diagnosis to transplantation, (iv) disease stage, (v) methodology of HLA-typing, (vi) conditioning regimen formulation, and (vii) standard of the cell dose that must be available in a single UCB unit to be infused.

However, UCBT offers an attractive alternative to BMT, in particular because of the low incidence of GVHD. Indeed, although UCBT is associated with a greater risk of graft rejection, due in part to a restricted number of hematopoietic stem cells, nevertheless, this risk can be overcome in part by selecting UCB units that contain a large number of cells and those that are closely matched at the HLA loci.

Alternatively, the use of double UCBT from unrelated donors or the potential collection of HSCs from human placenta might be useful approaches to optimize the donor hematopoietic stem cell content. Interestingly, recent results show excellent outcomes after HLA-identical sibling UCBT, stressing the importance of collecting cord blood in families when a child is affected by blood disorders. Eventually, recent studies reported that combination of UCB unit collected after a sibling birth with a marrow harvested from the same donor presented excellent results exerted by both low rates

of GVHD and graft rejection. Most recent studies aim to optimize UCBT and promising results were obtained once the cell dose was increased and the homing improved taking into consideration several microenvironmental factors (e.g., cytokines, neuropeptides) and cells (e.g., mesenchymal stem cells).

The field of human hematotherapy was transformed with the advent of bone marrow replacement and augmented by the application of umbilical cord blood units. The increasing number of cord blood banks around the world makes sourcing of units an increased potential and has begun to slowly outweigh the need for bone marrow registries. Despite this, the costs involved are still unaffordable to many countries, not least in developing nations. Changes in the processing procedures, our knowledge of the true content of cord blood from children of different backgrounds, and from mothers of different ages and health status, and the advent of new technologies will hopefully make availability of umbilical cord blood transplantation a reality in every nation in the future.

Authors' Contribution

All authors have equally contributed to this work.

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